IN THE UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

Form 210A

United States Bankruptcy Court

SOUTHERN DISTRICT OF NEW YORK

In re LEHMAN BROTHERS HOLDINGS INC., et. al., DEBTORS

Case No. 08-13555 (JMP) JOINTLY ADMINISTERED

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 300 1(e)(2), Fed, R. Bankr. P. of the transfer, other than for security, of the claim referenced in this evidence and notice.

BROKWEL MANAGEMENT INC.

Name of Transferee

Name and Address where notices to transferee should be

BROKWEL MANAGEMENT INC.

53rd E Street, Urbanizacion Marbella MMG Tower, 16th Floor Panama REPUBLIC OF PANAMA

Attn.: Marta Irene Diae de Saavedra

Email: info@morimor.com Phone: (507) 265.7777

Last Four Digits of Acct #: N/A

Name and Address where transferee payments should be sent (if different from above):

Bank: SWIFT:

sent:

ABA Number:

A/C No.

ILLIQUIDX LLP

Name of Transferor

Court Claim # (if known): 56450

Amount of Claim as Filed with respect to ISIN

XS0287234313: \$69,611.85

Allowed Amount of Claim with respect to ISIN

XS0287234313: \$66,707.65

Court Claim # (if known): 56449

Amount of Claim as Filed with respect to ISIN

XS0287234313: \$69,611.85

Allowed Amount of Claim with respect to ISIN

XS0287234313: \$66,707.65

Court Claim # (if known): 58383

Amount of Claim as Filed with respect to ISIN

XS0352785751: \$69,611.85

Allowed Amount of Claim with respect to ISIN

XS0352785751:\$70,955.29

Court Claim # (if known): 58436

Amount of Claim as Filed with respect to ISIN

XS0352785751: \$69,611.85

Allowed Amount of Claim with respect to ISIN

XS0352785751: \$70,955.29

Court Claim # (if known): 58435

Amount of Claim as Filed with respect to ISIN

XS0352785751:\$69,611.85

Allowed Amount of Claim with respect to ISIN

XS0352785751: \$70,955.29

Court Claim # (if known): 58390

Amount of Claim as Filed with respect to ISIN

XS0352785751: \$69,611.85

Allowed Amount of Claim with respect to ISIN

XS0352785751: \$70,955.29

Court Claim # (if known): 58374

Amount of Claim as Filed with respect to ISIN

XS0352785751: \$278,447.40

Allowed Amount of Claim with respect to ISIN

XS0352785751: \$283,821.15

ILLIQUIDX LLP

80 Fleet Street London EC4Y 1EL UNITED KINGDOM

PLEASE SEE ATTACHED EXHIBITS

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief,

By:

Transferee/Transferee's Agent

Date: 10 FEB 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment foe up to 5 years, or both 18 U.S C. \$1152 & 3571.

Form 210B (12/09)

IN THE UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

| ILLIQUIDX LLP | BROKWEL MANAGEMENT INC. | | | | |
|--|--|--|--|--|--|
| Name of Alleged Transferor | Name of Transferee | | | | |
| Address of Alleged Transferor: | Address of Transferee: | | | | |
| ILLIQUIDX LLP | BROKWEL MANAGEMENT INC. | | | | |
| 80 Fleet Street London, EC4Y 1EL UK | 53rd E Street, Urbanizacion Marbella MMG Tower, 16th Floor Panama REPUBLIC OF PANAMA | | | | |
| ~DEADLINE TO OBJECT TO TRANSFER~ The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court. Date: | | | | | |

EVIDENCE OF TRANSFER OF CLAIM

TRANSFER AGREEMENT

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AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

- For value received, the adequacy and sufficiency of which are hereby acknowledged, ILLIOUIDX LLP ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to BROKWEL MANAGEMENT INC. (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) the securities identified by ISIN code listed in Schedule 1 (the "Securities", and any such security, a "Purchased Security"), (b) to the extent related to the Securities and to the extent of the applicable principal amount specified in Schedule 1 attached hereto, an undivided interest in the Seller's right, title and interest in and to the Proof of Claim Numbers specified in Schedule I, filed by or on behalf of those entities set out in Schedule I attached hereto acting for themselves and/or acting for itself and/or each acting on behalf of holders or beneficial owners of the Securities for which they have filed (the "Proofs of Claim"), against Lehman Brothers Holdings Inc., debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor") (the "Purchased Claim"), (c) to the extent related to the Securities and the Purchased Claim, all rights, title and benefits of Seller and Seller's predecessors-in-title (the "Predecessors") relating to the Purchased Claim, including without limitation (i) any right to receive cash, securities, instruments, principal, interest, damages, penalties, fees or any other property, which may be paid or distributed with respect to the Purchased Claim (including for clarity, all amounts distributed on or after the trade date (the "Trade Date") whether or not the Trade Date is before, on or after any record date with respect to an amount) or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Claim, whether under a plan of reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Securities and/or the Purchased Claim, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to or evidencing the Securities and/or the Purchased Claim, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any Predecessors acquired the rights and obligations underlying or constituting a part of the Securities and/or Purchased Claim, and any and all of Seller's right, title and interest in, to and under any right or remedy of Seller or any Predecessors against any other Predecessors, (d) any and all rights, remedies, claims and causes of actions regarding any of the foregoing; and (e) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), (c), (d) and (e), the "Transferred Claims"). For the avoidance of doubt, the Purchaser does not acquire any liabilities or obligations with respect to the Transferred Claims of Seller or any Predecessors.
- Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relate to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009; (c) Seller owns and has good legal, beneficial, and marketable title to the Transferred Claims, free and clear of any and all liens, claims, objections, set-off rights, security interests, participations, factoring agreements or encumbrances created or incurred by Seller or against Seller, or created or incurred by any Predecessors or against the same, and all filings required to evidence Seller's title to the Transferred Claim have been duly and timely filed with the Court; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and the related evidence of transfer of claim (the "Evidence of Transfer of Claim"); (e) the Proof of Claim includes the Purchased Claim specified in Schedule 1 attached hereto; (f) neither the Seller nor any Predecessors have engaged in any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will give rise to any setoff, defense or counterclaim or that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors of the Debtor, (g) there have been no objections filed against Seller or any Predecessors in respect of the Transferred Claims; (h) neither the Transferred Claims nor any portion thereof is subject to any claim or right of setoff, or pending reduction, recoupment, impairment, avoidance, disallowance, or subordination, and neither Seller nor any Predecessors has received any notice that the Transferred Claims are void or voidable or subject to any pending disallowance, reduction, impairment or objection of any kind, and the Allowed Amount of Claim Transferred to Purchaser, as referred to in Schedule 1, are those amounts set forth in Schedule 1, (i) the amounts described as the Proposed Allowed Claim Amount as set out in the Notices of Proposed Allowed Claim Amount dated August 24, 2011 relating to each of the Transferred Claims (collectively, the "Notice"), copies of which Seller has not been able to provide to Purchaser, are identical to those Total Proposed Allowed Claim Amounts as indicated in Schedule 1 hereto and no action was undertaken by Seller or any Predecessors with respect to the Notice; (j) the Notice relates to the Proofs of Claim, and as of the date hereof, other than the Notice, neither Seller nor any Predecessors have received any notice or objection or order of the Court for expungement or disallowance in relation to the

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Transferred Claims; (k) there have not been, and there are no objections to the Transferred Claims, (l) all documents provided to Purchaser by Seller relating to the Transferred Claims are true, accurate and complete copies of such documents; (m) all transfer agreements under which Seller and any Predecessors acquired the Transferred Claims or any part thereof (the "Predecessor Agreements") are substantially similar (and similar in all material respects) to this Agreement, and all such Predecessor Agreements contain representations, warranties, covenants, agreements and indemnities from the seller to the purchaser that are no less favorable than those contained herein; (n) Seller has the full power and authority to execute and perform its obligations under this Agreement and the Evidence of Transfer of Claim, and Seller has received representations and warranties from the Predecessors that (i) that Predecessor had full power and authority to execute, and deliver the respective Predecessor Agreement and the related evidence of transfer of claim and to contractually bind the holders or beneficial owners of the Securities to the terms of this Agreement and Evidence of Transfer of Claim and (ii) it is duly and validly authorized by, or on behalf of, any holders or beneficial owners of the Securities to execute and deliver this Agreement and Evidence of Transfer of Claim; (o) there are no claims, liens or encumbrances upon the Transferred Claims and Seller represents and warrants that, upon consummation of the transactions contemplated in this Agreement and Evidence of Transfer of Claim, the Purchaser will own and have good legal and beneficial title to the Transferred Claims, free and clear of any and all liens, claims, objections, set-off rights, security interests, participations, factoring agreements or encumbrances created or incurred by Seller or against Seller, or created or incurred by any Predecessors or against the same; (p) the Transferred Claims and Securities are not subject to or bound by a Plan Support Agreement (as such term is defined in the Second Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors dated as of June 30, 2011); (q) other than with respect (i) to the amount received by Seller or any Predecessors as a Class 5 Distribution (as defined in the Debtors' Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and its Affiliated Debtors, dated December 5, 2011 (the "Plan")) under the Plan in connection with the initial Distribution (as defined in the Plan), and (ii) to the amounts received by Seller or any Precedessors (which includes for the avoidance of doubt the Seller acting in its capacity as Depository) as Class 5 Distributions under the Plan in connection with subsequent Distributions under the Plan, no payment or other distribution has been received by or on behalf of Seller, any Predecessors, or by any third party on behalf of Seller or any Predecessors, in full or partial satisfaction of, or in connection with, the Transferred Claims; and (r) no filing or voting instructions have been filed, submitted or otherwise in relation to the Purchased Claim relating to the Consent and Solicitation Memorandum approved by the Supervisory Judge relating to the Composition Plan offered by LBT to its Ordinary Creditors pursuant to Title I, Chapter 6 DBA.

- 3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives, with respect only to the Transferred Claims, to the fullest extent permitted by law any notice or right to receive notice of a hearing with respect to such transfer pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim within three (3) business days of the date of this Agreement. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.
- 4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from (i) Seller's breach of its representations, warranties, covenants and agreements made herein, and (ii) Seller not having provided a copy of the Notice, nor all details of the contents thereof (other than as set out herein), to Purchaser. Seller hereby agrees that the Purchaser will benefit (if the Purchaser so requires) from any rights which the Seller may have against any Precedessors which the Seller has based on the Predecessors' representations and warranties set out in the respective Predecessor Agreement, and the Purchaser can exercise such rights in any way it wishes.
- 5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. If applicable, Seller has transferred, or shall transfer as soon as practicable after the date hereof, (but in any event on no later than the third (3rd) business day following the date hereof), to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement and Evidence of Transfer of Claim supplements and does not supersede any confirmation, any other

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automatically generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security. Seller shall act or omit to act with respect to the Transferred Claims solely to the extent directed by Purchaser.

- Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered (including, on Seller's part, causing any Precedessors to execute or deliver), all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions (including, on Seller's part, causing any Precedessors to deliver distributions and proceeds received by any Predecessors and to act) and other actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer of Claim, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proof of Claim. In the event that the sale and purchase of the Transferred Claims are not successfully completed for any reason whatsoever within a commercially reasonable time, any purchase price paid by the Purchaser under this Agreement and Evidence of Transfer of Claim shall be returned to the Purchaser and the delivery obligations of the Seller under this Agreement shall be cancelled, provided that such cancellation shall not absolve either party from any damages arising from a breach of this Agreement.
- 7. Seller agrees that all distributions, amounts, proceeds, assets, cash and other property received by Seller or any Precedessors on or after the Trade Date (whether or not such Trade Date is before, on or after any record date for such amounts) are for the account of Purchaser, and at the election of the Purchaser, (i) the Purchaser may net, setoff and reduce the purchase price payable by it and any other amounts owed by it in respect of the Transferred Claims against the distributions, assets, cash, property and amounts payable by Seller to it in respect of distributions, assets, cash, property and amounts received by Seller or any Precedessors on and after the Trade Date, or (ii) the Seller shall pay such amounts received by the Debtor or any Precedessors, or any other entity in relation to the Transferred Claims, on or prior to the date of this Agreement and on and after the Trade Date in respect of the Transferred Claims to the account information provided to it by Purchaser on the date of this Agreement and Evidence of Transfer of Claim.
- 8. The parties acknowledge and agree that the transfer of the Transferred Claims shall be made effective immediately upon execution by the parties of this Agreement and Evidence of Transfer of Claim, and to the extent that any of the Securities are blocked in Euroclear, immediately upon such Securities being unblocked, payment of the purchase price shall be made on delivery of the Securities versus payment basis through Euroclear. For the avoidance of doubt, the parties acknowledge and agree that the transfer of the Purchased Claim contemplated hereby shall occur immediately upon execution of this agreement.
- 9. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below.

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IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this **24** day of January 2014.

BUYER BROKWEL MANAGEMENT INC.

By: ____ Name: Title:

53rd E Street, Urbanizacion Marbella MMG Tower, 16th Floor Panama REPUBLIC OF PANAMA SELLER ILLIQUIDX LLP

Name: Celestino Amore Title: Managing Partner

80 Fleet Street London EC4Y 1EL UNITED KINGDOM Transferred Claim

Purchased Claim
As set forth below.

| Claim # | ISIN/CUSIP | Blocking | Issuer | Guarantor | Principal / Notional Amount | Allowed Amount of Claim |
|---------|--------------|----------|-------------------|-----------------|-------------------------------|--------------------------|
| | | Number | | | | Transferred to Purchaser |
| 56450 | XS0287234313 | CA02331 | Lehman Brothers | Lehman Brothers | EUR50,000.00 which is the | USD\$66,707.65 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$69,611.85 | |
| 56449 | XS0287234313 | CA02334 | Lehman Brothers | Lehman Brothers | EUR50,000.00 which is the | USD\$66,707.65 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$69,611.85 | |
| 58383 | XS0352785751 | CA34793 | Lehman Brothers | Lehman Brothers | EUR50,000.00 which is the | USD\$70,955.29 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$69,611.85 | |
| 58436 | XS0352785751 | CA03847 | Lehman Brothers | Lehman Brothers | EUR50,000.00 which is the | USD\$70,955.29 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$69,611.85 | |
| 58435 | XS0352785751 | CA03907 | Lehman Brothers | Lehman Brothers | EUR50,000.00 which is the | USD\$70,955.29 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$69,611.85 | |
| 58390 | XS0352785751 | CA03848 | Lehman Brothers | Lehman Brothers | EUR50,000.00 which is the | USD\$70,955.29 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$69,611.85 | |
| 58374 | XS0352785751 | CA03849 | Lehman Brothers | Lehman Brothers | EUR200,000.00 which is the | USD\$283,821.15 |
| | | | Treasury Co. B.V. | Holding Inc. | equivalent of USD\$278,447.40 | |

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| United States Banko | aptcy Court/South | ern District of New York | L CUSAAN OF | CHRITIES BROCKARS | |
|--|---|---|---|--|--|
| Lehman Brothers Ho | | cessing Center | | CURITIES PROGRAMS | |
| c/o Epiq Bankruptcy FDR Station, P.O.0 I | | | PRO | OF OF CLAIM | |
| New York, NY 1015 In Re: | 0-5076 | Chapter 11 | Lucac Sc | outhern District of New York | |
| Lehman Brothers Ho Debtors | ldings Inc, et al., | Case No. 08-13555 (JMP) Jointly Administered | | hers Holdings Inc., Et Al. 0000056450 -13555 (JMP) | |
| those based on L | chman Prograi | ed to file claims other than ms Securities as listed on as of July 17, 2009 | | CALY | |
| Name and address of | of Creditor: (and n | ame and address where notices should | be sent if different from | Check this box to indicate that this | |
| Creditor) | | | | claim amends a previously filed claim. | |
| NAME & CHO | TUCALTTA L | IZ GARCÍA BLÁZQUEZ | . × | Court Claim Number: | |
| ADDRESS OCI- | 21162089184 | TERCEDES Nº58,7°D 78020-HADRID (SPA | luir | (If known) | |
| Telephone number: | +349157902 | 10 Email Address: Cablazau | ez@hotmail.com | Filed on: | |
| Name and address w | vhere payment she | ould be sent (if different from above) | | Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. | |
| Telephone number: | | Email Address: | | | |
| Programs Securithereafter, and v United States de Lehman Program Amount of Claim: | whether such claim ollars, using the ends Security, your | ber 15, 2008, whether you owned the n matured or became fixed or liquidate schange rate as applicable on Septemb may attach a schedule with the claim a | Lehman Programs Securities of ad before or after September 1. er 15, 2008. If you are filing a mounts for each Lehman Prog | ust be the amount owed under your Lehman on September 15, 2008 or acquired them 5, 2008. The claim amount must be stated in this claim with respect to more than one grams Security to which this claim relates. | |
| Check this box it | f the amount of cla | im includes interest or other charges in a | ddition to the principal amount | due on the Lehman Programs Securities. | |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. | | | | | |
| International Secu | rities Identificati | on Number (ISIN): X50287 | 234313 (Rec | nrived) | |
| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. | | | | | |
| Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: | | | | | |
| (Required) CAO2331 | | | | | |
| 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must ecquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. | | | | | |
| Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 79370 CEDEL | | | | | |
| | | (Reguire | | · · · · · · · · · · · · · · · · · · · | |
| to, and are deen | ned to have author d holdings of Leh | earstream Bank or Other Depositor; ized, Euroclear Bank, Clearstream Ba man Programs Securities to the Debto | nk or other depository to disc | lose TILED RECEIVED | |
| 30-09-2009 | of the creditor or on number if differen | person filing this claim must sign it. Si other person authorized to file this claim t from the notice address above. Attack | n and state address and teleph- h copy of power of attorney, i | f say. | |
| Penalty for | presenting fraudu | lent claim: Fine of up to \$500,000 or | imprisonment for up to 5 years | s, or both. 18 U.S.C. §§ 152 and 3571 | |

| Lehman Bro c/o Epiq Bar FDR Station | es Bankruptcy Court/Souther, thers Holdings Claims Proces akruptcy Solutions, LLC ,-P.O. Box 5076 NY 10150-5076 | | PROC | URITIES PROGRAMS OF OF CLAIM |
|---|---|--|---|---|
| In Re: Lehman Bro Debtors. | thers Holdings Inc., et al., | Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) | Filed L | t: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000056649 |
| on Lehman | | le claims other than those based ted on http://www.lehman- | TH | |
| | ddress of Creditor: | |] | Check this box to indicate that this |
| HSBC PRIV | VATE BANK (MONACO) | S.A. | C | claim amends a previously filed claim. |
| | ON GAELLE MIGANI, HEA E D'OSTENDE , MC 98000 | AD OF SECURITIES DEPARTMENT | | Court Claim Number:(If known) |
| 17 AVENU | ED OSTENDE, MC 98000 | MONACO | 1 | Filed on: |
| Telephone n | umber: + 377 93 15 25 91 | Email Address: (1) pbrs.mco.os (2) gaelle.migai | st@hsbcpb.com | ned on. |
| Name and ac | ddress where payment should | be sent (if different from above) | E e | ☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars |
| Telephone n | umber: | Email Address: | | |
| Amount of Check th Check th Provide the more than one | claim: SEE ATTACHI is box if the amount of claim e International Securities Identific Lehman Programs Security, you | includes interest or other charges in additional control of the charges in the charges in additional control of the charges in th | tion to the principal amount d ams Security to which this claim Lehman Programs Securities to v | lue on the Lehman Programs Securities. |
| "Blocking Nu broker or othe schedule with | mber") for each Lehman Program er entity that holds such securities the Blocking Numbers for each I | as Security for which you are filing a claim. Y on your behalf). If you are filing this claim w ehman Programs Security to which this claim | ou must acquire a Blocking Num ith respect to more than one Lehr | |
| claim. You m entity that hol | ust acquire the relevant Clearstre | Beneficial holders should not provide their p | participant account number from | grams Securities for which you are filing this your accountholder (i.e. the bank, broker or other |
| deemed to have | ve authorized, Euroclear Bank, C | Bank or Other Depository: By filing this c earstream Bank or other depository to disclos for the purpose of reconciling claims and distr | e your identity and holdings | FOR COURT USE ONLY |
| Date. October 27, 2009 | Signature: The person filithe creditor or other person | ng this claim must sign it. Sign and print authorized to file this claim and state ad e notice address above. Attach copy of p | name and title, if any, of dress and telephone | OCT 2 9 2009 |
| | lame: JOHN BERTOLIS | NO - Title: DEPUTY CHIEF EXECU | TIVE OFFICER | EPIQ BANKRUPTCY SOLUTIONS, LLC |

| Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) Telephone number: (34) 91-3848558 Email Address: arey@renta4.es/raulperez@renta4.es 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 | Lehman Brother c/o Epiq Bankru FDR Station, P.O New York, NY | | ssing Center | | | CURITIES PROGRAMS OF OF CLAIM | |
|---|--|--|--------------------------|---|---|---|--|
| Name and address of Creditor. (and name and address where notices should be sent if different from Creditor) Name and address of Creditor. (and name and address where notices should be sent if different from Creditor) Mr. Michael Uriel Waddel CJOux de Chia, 6; 17004 - Girona (Spain) Renta 4 Sociedad de Valores, S.A. Passe ode la Habana, 74; 28036 - Madrid (Spain) Telephone number: (24) 91-3848558 Email Address: aray@renta4.es/raulperez@renta4.es Name and address where payment should be sent (if different from above) Renta 4 Sociedad de Valores, S.A. Passe ode la Habana, 74; 28036 - Madrid (Spain) Telephone number: (24) 91-3848558 Email Address: aray@renta4.es/raulperez@renta4.es Name and address where payment should be sent (if different from above) Renta 4 Sociedad de Valores, S.A. Passe ode la Habana, 74; 28036 - Madrid (Spain) Telephone number: (24) 91-3848558 Email Address: aray@renta4.es/raulperez@renta4.es | Lehman Brother | s Holdings Inc., et al., | Case No. 08-13555 (. | | 1 | hman Brothers Holdings Inc., Et Al. | |
| Mr. Michael Uriel Waddel CJous de Chia, 6; 17004 - Grona (Spain) Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) Telephone number: (34) 91-3848558 Email Address: arev@renta4.es/raulperez@renta4.es Name and address where payment should be sent (if different from above) Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) Telephone number: (34) 91-3848558 Email Address: arev@renta4.es/raulperez@renta4.es 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter and whicher such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 | based on Leh | man Programs Secur | ities as listed on | than those | | | |
| Court Claim Number: (If known) Filed on: Telephone number: (34) 91-3848558 Email Address: arey@renta4.es/raulperez@renta4.es Name and address where payment should be sent (if different from above) Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) Telephone number: (34) 91-3848558 Email Address: arey@renta4.es/raulperez@renta4.es Telephone number: (34) 91-3848558 Email Address: arey@renta4.es/raulperez@renta4.es 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States of Collars, using the exchange rate as applicable on September 15, 2008. Bly ou are fling this claim with respect to more than one Lehman Programs Securities. Your claim amount must be stated in United States of Collars, using the exchange rate as applicable on September 15, 2008. The claim amount must be stated in United States of Collars, using the exchange rate as applicable on September 15, 2008. Bly ou are fling this claim with respect to more than one Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities of which this claim relates. International Securities Identification Number (ISIN): XS0352785751 (Required) 3. Provide the Clearstream Bank Blocking Number; a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (sech, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number form your accounthoider (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security for which you are f | | ss of Creditor: (and name | and address where not | ices should be | sent if different from | | |
| Name and address where payment should be sent (if different from above) Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) Telephone number. (34) 91-3848558 Email Address: arey@renta4.es/raulperez@renta4.es 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 are address and whether send claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be the amount owed under your Lehman Programs Securities and whether scholarin state of refundated before or after September 15, 2008. The claim amount must be stated in United States and whether scholaring the scholar with the claim amount for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 M. Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Security in the programs Securities on the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. International Securities Identification Number (ISIN): XS0352785751 Required 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security or which you are filing a claim. You must acquire a Blocking Number (each, a "Blocking Number") for each Lehman Programs Securities or your behalf). If you are filing is claim with respect to more than one Lehman Programs Securities for which you are filing a claim. You must acquire to more than one Lehman Programs Securities on your behalf). If you are filing is claim with respect to more than one Lehman Programs Securities for which you are filing this claim. You must acquire th | C/Joua de Ch Renta 4 Socie | ia, 6; 17004 - Girona (dad de Valores, S.A. | | | | (If known) | |
| Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) Telephone number: (34) 91-3848558 Email Address: arey@renta4.es/raulperez@renta4.es 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States tollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security on which this claim: \$7.0755.00 Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): XS0352785751 Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number form your accountholder (i.e. the bank, proker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Number for each Lehman Programs Securities for which you are filing this claim. You must acquire a Blocking Number form your accountholder (i.e. the bank, proker or other entity that holds such securities on your behalf). By our are filing this claim with respect to more than one Lehman Programs Security to which this claim relates. Clearst | Telephone numb | per: (34) 91-3848558 E | nail Address: arey@r | enta4.es/rad | ulperez@renta4.es | | |
| 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or sequired them thereafter and whether such claim amtured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 | Renta 4 Socie | edad de Valores, S.A. | | m above) | | relating to your claim. Attach copy of | |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim relates. International Securities Identification Number (ISIN): XS0352785751 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA34793 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal accountnumbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Clearstream 17829 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Clearstream 17829 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Lieurstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities or the purpose of reconciling claims and distributions. Date. Signature: The pe | 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 (Required) | | | | | | |
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| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA34793 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or Other Depository: Signature: The person all holdings of Lehman Programs Securities of the Clearstream Bank or Other Depository: Signature: The person all holdings of Lehman Programs Securities of the Clearstream Bank or Other Depository: Signature: The person all | this claim with re which this claim | espect to more than one L relates. | ehman Programs Secui | rity, you may a | attach a schedule with the ISINs | which this claim relates. If you are filing s for the Lehman Programs Securities to | |
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| 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Date. Signature: The person filing this claim metal tignat. Sign and print name and title, if any, of the creditor or other person authorized the filing state address and telephone number if different from the notice and state address and telephone number if different from the notice and state address and telephone number if different from the notice and state address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number if different from the notice and states address and telephone number at the number of the | you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account | | | | | | |
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| | 5. Consent to Et consent to, and a disclose your ide reconciling claim Date. | aroclear Bank, Clearstre re deemed to have author intity and holdings of Leh as and distributions. Signature: The person of the creditor or other | Iling this claim made | pository: By learstream Ba so the Debt Debt go it, jgn ar | of filing this claim, you take or other depository to the for the purpose of the purpose of the purpose and print name and title, if any, and state address and telephone | FILED / RECEIVED | |
| Penalty for presenting fraudulent claim: Fine of up to 5 500 00 to 1 timprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571 | Penalty | for presenting fraudulen | claim: Fine of up 10 | APRIL | prisonment for up to 5 years, or | r both. 18 U.S.C. §§ 152 and 3571 | |

| Lehman Brother | | ssing Center | | | CURITIES PROGRAMS OOF OF CLAIM |
|---|---|---|--|---|---|
| In Re: Lehman Brother Debtors. | rs Holdings Inc., et al., | Chapter 11 Case No. 08-13555 (J (Jointly Administered | | | uthern District of New York ers Holdings Inc., Et Al. 3555 (JMP) |
| based on Leh | rm may not be used t man Programs Secur <u>:hman-docket.com</u> as | ities as listed on | than those | | 0000058436 |
| Name and addre Creditor) | ss of Creditor: (and name | and address where noti | ces should be sen | if different from | Check this box to indicate that this claim amends a previously filed claim. |
| Mr. Salvador C/Carretera d | Callis Plans e Grions S/N; 17451-S | antteuu De Buixalle | u (Girona) (Spa | in) | Court Claim Number:(If known) |
| Paseo de la H | edad de Valores, S.A. labana, 74; 28036 - Ma per: (34) 91-3848558 E | | antad ac/raulna | E Arrent@rent | Filed on: |
| Name and addre | ss where payment should edad de Valores, S.A. labana, 74; 28036 - Ma | be sent (if different from | m above) | rezwienta4.es | Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. |
| Telephone numb | er: (34) 91-3848558 E | mail Address: arey@re | enta4.es/raulpe | rez@renta4.es | |
| Amount of Clai Check this Provide the | a schedule with the claim m: \$ 70,755.00 box if the amount of clain International Securities I | amounts for each Lehm (Re n includes interest or other (I | an Programs Secundaried) their charges in add SIN) for each Leh | rity to which this claim re lition to the principal amou man Programs Security to | ant due on the Lehman Programs Securities. which this claim relates. If you are filing |
| which this claim | | eiman Frograms Secur | ity, you may attac | n a schedule with the 151N | ls for the Lehman Programs Securities to |
| International S | ecurities Identification N | umber (ISIN): XS03 | 52785751 | (Required) | · · · · · · · · · · · · · · · · · · · |
| appropriate (each from your accou | n, a "Blocking Number") ntholder (i.e. the bank, br | for each Lehman Progra oker or other entity that | ams Security for v holds such securi | hich you are filing a clain ties on your behalf). If yo | depository blocking reference number, as n. You must acquire a Blocking Number u are filing this claim with respect to more a Programs Security to which this claim |
| Clearstream Ba number: | nk Blocking Number, E | uroclear Bank Electro | nic Instruction R | deference Number and or | r other depository blocking reference |
| CA03847 | | | (Required) | | |
| you are filing thi | s claim. You must acquir | e the relevant Clearstre | am Bank, Eurocle | ar Bank or other depositor | our Lehman Programs Securities for which y participant account number from your ers should not provide their personal accoun |
| Accountholders Clearstream 1 | | tream Bank or Other | Depository Parti | cipant Account Number: | : |
| consent to, and a disclose your ide | uroclear Bank, Clearstrong deemed to have authorentity and holdings of Lehas and distributions. | zed, Euroclear Bank, C | pository: By filin learneam Bank o | or other depository to | FILED / RECEIVED |
| Date. | | fring this claim must's | Hen it: Sign and pr Alli Allian and su 384 186 000py o | in name and title, if any, ate address and telephone foower of attorney, if | OCT 3 0 2009 |
| | any. | 38036 | MADRID | conment for up to 5 years | EPIQ BANKRUPTCY SOLUTIONS, LLC |
| | LOS BRODANTING THE CO. I | | THE R. L. LEWIS LANSING MICHAEL CO., LANSING MICHAE | | or pour 1X 11 N 1 N N 157 and 3571 |

| Lehman Brother | | • | PRO | CURITIES PROGRAMS OF OF CLAIM | |
|---|--|--|--|--|--|
| In Re: Lehman Brothers | s Holdings Inc., et al., | Chapter 11 Case No. 08-13555 (JMP) | Filed: USBC - Souther Lehman Brothers H | m District of New York Holdings Inc., Et Al, | |
| Debtors. | | (Jointly Administered) | 08-13555 | (JMP) 0000058435 | |
| based on Lehi | rm may not be used man Programs Secur hman-docket.com as | | e | | |
| Name and address Creditor) Mr. Ramón Ca | | and address where notices should | be sent if different from | Check this box to indicate that this claim amends a previously filed claim. | |
| C/Carretera Balenya, 7, 2-1a; 08552 - Taradell (Barcelona) (Spain) Renta 4 Sociedad de Valores, S.A. | | | n) | Court Claim Number:(If known) | |
| Paseo de la Habana, 74; 28036 - Madrid (Spain) | | | Filed on: | | |
| | | mail Address: arey@renta4.es/ | raulperez@renta4.es | | |
| Name and address where payment should be sent (if different from above) Renta 4 Sociedad de Valores, S.A. Paseo de la Habana, 74; 28036 - Madrid (Spain) | | | | Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. | |
| Telephone numb | er: (34) 91-3848558 E | mail Address: arey@renta4.es/ | raulperez@renta4.es | 1 | |
| Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 70,755.00 (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. | | | | | |
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| this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. | | | | | |
| International Securities Identification Number (ISIN): XS0352785751 (Required) | | | | | |
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| Clearstream Bai number: | nk Blocking Number, E | uroclear Bank Electronic Instru | ction Reference Number and or | other depository blocking reference | |
| CA03907 | | (Requ | uired) | | |
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| Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: | | | | | |
| Clearstream 17 | | (Requi | 12 | FOR COURT USE ONLY | |
| consent to, and ar disclose your iden | e deemed to have author | ized, Euroclear Bank, Clearstream, man Programs Securities to the Di | Danksor other depository to | FILED / RECEIVED | |
| Date. | | S VS.A | Not and and and airle if | 007 | |
| 10/27/09 | of the creditor or other | filingthis claffilmust sign 13 go person withorized to the mis claim in the notice address above. Attach | and state address and telephone | OCT 3 0 2009 | |
| | | MAD | | EPIQ BANKRUPTCY SOLUTIONS, LLC | |
| Penalty | for presenting fraudulen | t claim: Fine of up to \$500,000 or | imprisonment for up to 5 years, or | both. 18 U.S.C. §§ 152 and 5571 | |

| Lehman Brothers Hold c/o Epiq Bankruptcy S FDR Station, P.O. Box | ings Claims Proces olutions, LLC 5076 | n District of New York ssing Center | | CURITIES PROGRAMS OF OF CLAIM |
|---|--|--|---|--|
| New York, NY 10150- In Re: Lehman Brothers Hold Debtors. | | Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) | Lenman Broti | outhern District of New York hers Holdings Inc., Et Al. |
| Note: This form m based on Lehman I http://www.lehman | Programs Secur | | | 13555 (JMP) 0000058390 |
| Creditor) | bet & Mrs. Mons | and address where notices should be errat Rieradevall Brossa Girona) (Spain) | sent if different from | Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: |
| Renta 4 Sociedad o Paseo de la Habani | a, 74; 28036 - Ma | (If known) Filed on: | | |
| | re payment should le Valores, S.A. | mail Address: arey@renta4.es/rau be sent (if different from above) adrid (Spain) | nperez@rentd4.es | Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. |
| Provide the total ar Programs Securities as and whether such clain dollars, using the exchi you may attach a schec Amount of Claim: \$ | nount of your clain of September 15, 2 in matured or becaming rate as applicately with the claim 70,755.00 | 2008, whether you owned the Lehmar ne fixed or liquidated before or after S able on September 15, 2008. If you are amounts for each Lehman Programs ((Required) | ies. Your claim amount must be in Programs Securities on Septen reptember 15, 2008. The claim ie filing this claim with respect to Security to which this claim related | e the amount owed under your Lehman niber 15, 2008 or acquired them thereafter, amount must be stated in United States o more than one Lehman Programs Security, ates. |
| Provide the Intern this claim with respect which this claim relate | ational Securities I to more than one I s. | dentification Number (ISIN) for each ehman Programs Security, you may a | Lehman Programs Security to attach a schedule with the ISINs | which this claim relates. If you are filing for the Lehman Programs Securities to |
| 3. Provide the Clearstre appropriate (each, a "B from your accounthold than one Lehman Prog- relates. | eam Bank Blocking locking Number") er (i.e. the bank, br rams Security, you | for each Lehman Programs Security to oker or other entity that holds such se may attach a schedule with the Block suroclear Bank Electronic Instruction | ic Reference Number, or other of for which you are filing a claim curities on your behalf). If you king Numbers for each Lehman on Reference Number and or | depository blocking reference number, as You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim other depository blocking reference |
| | eam Rank Fumele | ar Bank or other denository participar | | ur Lehman Programs Securities for which |
| you are filing this claim | 1. You must acqui | re the relevant Clearstream Bank, Eur | oclear Bank or other depository | r Lennan Frograms Securities for which participant account number from your rs should not provide their personal account |
| Accountholders Euro Clearstream 17829 | clear Bank, Clear | stream Bank or Other Depository F | • | |
| 5. Consent to Eurocle consent to, and are deed disclose your identity a reconciling claims and Date. Sign of the num any. | med to have author and holdings of Let distributions. Lature: The peoor be creditor or ther ber if different from | eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream | of filing this claim, you ank or other depository to cors for the purpose of and print name and title, if any d state address and telephone by of power of attorney, if | FOR COURT USE ONLY FILED / RECEIVED OCT 3 0 2009 EPIQ BANKRUPTCY SOLUTIONS, LC |
| renaity for p. | esenting frauauter | n dam Orac of up to \$500 bee or in | iprisonment for up to 3 years | |

| Lehman Brother | | | LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM | | |
|--|--|--|--|--|--|
| In Re: Lehman Brother Debtors. | rs Holdings Inc., et al., | Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) | I Lange Uroineis | ern District of New York Holdings Inc., Et Al. 55 (JMP) 0000058374 | |
| based on Leh | rm may not be used t man Programs Secur <u>chman-docket.com</u> as | o file claims other than those ities as listed on of July 17, 2009 | = | - J. COURTUSE ONLY | |
| Name and addre Creditor) | ess of Creditor: (and name | and address where notices should be | sent if different from | Check this box to indicate that this claim amends a previously filed claim. | |
| Inversiones M C/Reina Victo | farbari, S.L., ria, 28; 08021-Barcelo | Court Claim Number:(If known) | | | |
| Paseo de la H | edad de Valores, S.A. labana, 74; 28036 - Ma ver: (34) 91-3848558 F | ndrid (Spain) mail Address: arey@renta4.es/rau | Inerez@rente4 es | Filed on: | |
| Name and addre | ss where payment should edad de Valores, S.A. labana, 74; 28036 - Ma | be sent (if different from above) | ipvi eetti eiitaa.es | Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. | |
| Telephone numb | oer: (34) 91-3848558 E | mail Address: arey@renta4.es/rau | lperez@renta4.es | | |
| 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ _283,020.00 | | | | | |
| 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. | | | | | |
| International Se | ecurities Identification N | (umber (ISIN): XS0352785751 | (Required) | | |
| 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference | | | | | |
| number: | | | | | |
| CA03849 | | ₫ (Require | | | |
| 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. | | | | | |
| Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Clearstream 17829 (Required) | | | | | |
| disclose your ide | re deemed to have author ntity and holdings of Leh as and distributions. | eam Bank or Other Depository: By ized, Euroclear Bank, C. Jearstream Ba man Programs Security to the Debu S. A. S. | filing this claim, you nk or other depository to so the purpose of | PIQ BANKRUPTCY SOLUTIONS, ILC | |
| Penalty | | 1 claim: Fine of up to \$500,000 or in | prisonment for up to 5 years, or | | |